

Roles/Definitions

- Investigator – The WSU or entity employee responsible for “design, conduct, or reporting of research”.
- Entity Officer – WSU employee who is an Owner (may include equity) and/or, CEO, COO, CFO, CSO, etc. of an outside entity such as a for-profit company.
- Consultant/Advisor – Expert providing services to the company, but is not a Company Officer or Investigator.
- Authorized Fiscal/Administrative Position at entity-an individual that represents the entity’s interest and is not a WSU employee or student.

Type of Conflict	Employee Role at WSU	WSU Employee Role at Entity	Issue	Presumptive Action	COI M. Plan Required?
1.	Investigator (Dr. M)	Investigator (Dr. M)	Financial conflict of interest, WSU Investigator on both sides. Does not provide objectivity.	Eliminate	N/A
2.	Investigator (Dr. M)	Entity Officer (Dr. M)	Financial conflict of interest, WSU Investigator in Entity Officer role does not provide objectivity.	Eliminate	N/A
3.	Investigator (Dr. M)	Consulting/ Advisory (Dr. M)	Financial conflict of interest, but WSU Investigator is not an Entity Investigator or Entity Officer.	Manage (Chair/Director to oversee) Entity must provide appropriate authorized fiscal/admin personnel to negotiate terms with WSU (typically OROS/OC).	Yes
4.	Investigator (Dr. M)	Entity Officer (Dr. Q, also from WSU)	Entity Officer has a financial conflict of interest.	Manage (Chair/Director oversee) Entity must provide appropriate authorized fiscal/admin personnel (different person than Entity Officer) to negotiate terms with WSU (typically OROS/OC).	Yes

Faculty Quick Guide for Conflicts of Interest

<p>5.</p>	<p>Investigator uses WSU facility to work on other Entity (non-WSU funded) project (Dr. M)</p>	<p>N/A</p>	<p>Investigator has a financial conflict of interest.</p>	<p>Manage (Chair/Director oversee) Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically OROS/OC).</p>	<p>Yes</p>
<p>6.</p>	<p>Investigator (Dr. M) Dr. Ms Student (Jane Doe) Dr. Ms Subordinate (non-student) (John Doe)</p>	<p>Dr. Ms student (Jane Doe) or Dr. Ms subordinate (John Doe) also working for Entity (Entity belongs to Dr. M). Dr. M IS an Entity Officer or Investigator.</p>	<p>Similar to scenarios 1 and 2. Financial conflict of interest, WSU Investigator on both sides. Does not provide objectivity.</p>	<p>Potentially manageable. Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically OROS/OC).</p>	<p>N/A</p>
<p>7.</p>	<p>Investigator (Dr. M) Dr. Ms Student (Jane Doe) Dr. Ms Subordinate (non-student) (John Doe)</p>	<p>Dr. Ms student (Jane Doe) or Dr. Ms subordinate (John Doe) also working for Entity. Dr. M is NOT an Entity Officer or Investigator but involved with Entity.</p>	<p>EP 27 requires pre-approval by the Chair, Dean, and Provost.</p>	<p>Manage (Chair/Director oversee) Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically OROS/OC).</p>	<p>Yes</p>
<p>8.</p>	<p>Investigator (Dr. M)</p>	<p>NOT an Entity Officer or Investigator (Dr. Q)</p>	<p>No conflict</p>	<p>No conflict</p>	<p>N/A</p>