**Roles/Definitions**

* Investigator – The WSU or entity employee responsible for “design, conduct, or reporting of research”.
* Entity Officer/Rep – WSU employee who is an Owner (may include equity) and/or, CEO, COO, CFO, CSO, any other management position, etc. of an outside entity such as a for-profit company. This title also applies to those participating in the day-to-day operations of the outside entity.
* Consultant/Advisor – Expert providing services to the company, but is not a Company Officer or Investigator.
* Authorized Fiscal/Administrative Position at entity-an individual that represents the entity’s interest and is not a WSU employee or student.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Type of Conflict** | **Employee Role at WSU** | **WSU Employee Role at Entity** | **Issue** | **Presumptive****Action** | **COI M. Plan Required?** |
| **1.** | Investigator (Dr. M) | Investigator (Dr. M) | Financial conflict of interest, WSU Investigator on **both** sides. Does not provide objectivity. | Eliminate | N/A |
| **2.** | Investigator (Dr. M) | Entity Officer/Rep (Dr. M) | Financial conflict of interest, WSU Investigator in Entity Officer/Rep role does not provide objectivity.  | Manage(Chair/Director or other authority figure to oversee)Entity must provide appropriate authorized fiscal/admin personnel (**different** person than Entity Officer/Rep) to negotiate terms with WSU (typically ORSO/OC). | Yes |
| **3.** | Investigator (Dr. M) | Consulting/Advisory (Dr. M) | Financial conflict of interest, but WSU Investigator is **not** an Entity Investigator or Entity Officer/Rep. | Manage(Chair/Director or other authority figure to oversee)Entity must provide appropriate authorized fiscal/admin personnel to negotiate terms with WSU (typically ORSO/OC). | Yes |
| **4.** | Investigator (Dr. M) | Entity Officer/Rep (Dr. Q, also from WSU) | Entity Officer/Rep has a financial conflict of interest. | Manage(Chair/Director or other authority figure to oversee)Entity must provide appropriate authorized fiscal/admin personnel (**different** person than Entity Officer/Rep) to negotiate terms with WSU (typically ORSO/OC). | Yes |
| **5.** | Investigator uses WSU facility to work on other Entity (non-WSU funded) project (Dr. M) | N/A | Investigator has a financial conflict of interest. | Manage(Chair/Director oversee)Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically ORSO/OC). | Yes |
| **6.** | Investigator (Dr. M)Dr. Ms Student (Jane Doe)Dr. Ms Subordinate (non-student) (John Doe) | Dr. Ms student (Jane Doe) or Dr. Ms subordinate (John Doe) also working for Entity (Entity belongs to Dr. M).Dr. M **IS** an Entity Officer/Rep or Investigator.  | Similar to scenarios 1 and 2.Financial conflict of interest, WSU Investigator on **both** sides.Does not provide objectivity. | Potentially manageable.Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically ORSO/OC). | N/A |
| **7.** | Investigator (Dr. M)Dr. Ms Student (Jane Doe)Dr. Ms Subordinate (non-student) (John Doe) | Dr. Ms student (Jane Doe) or Dr. Ms subordinate (John Doe) also working for Entity.Dr. M **is NOT** an Entity Officer/Rep or Investigator but involved with Entity.  | EP 27 requires pre-approval by the Chair, Dean, and Provost. | Manage(Chair/Director oversee)Entity must provide appropriate authorized fiscal/admin personnel, without a financial conflict of interest, to negotiate terms with WSU (typically ORSO/OC). | Yes |
| **8.** | Investigator (Dr. M) | **NOT** an Entity Officer/Rep or Investigator (Dr. Q) | No conflict  | No conflict | N/A |